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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/822,230

04/09/2004

Raphael J. Mannino

BSZ-050

00959

LAHIVE & COCKFIELD, L 28 STATE STREET BOSTON, MA 02109

CONFIRMATION NO. 1325 FORMALITIES LETTER

OC000000013050902

Date Mailed: 06/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$8924 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$9824 for a Large Entity

09/27/2004 BSAYASI1 00000076 120080 10822230

\$770 Statutory basic filing fee.

\$130 Late oath or declaration Surcharge.

01 FC:1001 02 FC:1051 770.00 DA 130.00 DA

03 FC:1202

2178.00 DA 344.00 DA

- Total additional claim fee(s) for this application is \$8924
 - \$516 for 6 independent claims over 3.
 - \$8118 for 451 total claims over 20.
 - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

(W) WWW

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SEP 2 4 2004 (3)

Hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 419 928 040 US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 24, 2004 Signature:

Docket No.: BSZ-050

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Raphael J. Mannino et al.

Application No.: 10/822230

Filed: April 9, 2004

For: NOVEL ENCOCHLEATION METHODS,

COCHLEATES AND METHODS OF USE

Confirmation No.: 1325

Art Unit: 1636

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 24, 2004, Applicant respectfully submits a Declaration for Patent Application, Second Preliminary Amendment, the Filing Fee for the Application (as shown on accompanying Fee Transmittal), and Part 2 Copy of Notice.

Please charge our Deposit Account No. 12-0080 in the amount of \$3,522.00 (\$770 filing fee, \$2,532 extra claim fee, \$110 extension fee, \$130 surcharged based on a large entity). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. BSZ-050. Applicants request any extensions of time necessary to respond.

Dated: September 24, 2004

Respectfully submitted,

Danielle L. Herritt

Registration No.: 43,670

LAHIVE & COCKFIELD, LLP

28 State Street

Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant

Application No. (if known): 10/822230- Conf. #1325

Attorney Docket No.: BSZ-050

Certificate of Express Mailing Under 37 CFR 1.10

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on	September 24, 2004
	Date

Danielle L. Herritt, Esq., Reg. No. 43,670

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)

Fee Transmittal (1 page- in duplicate)

One Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)

Second Preliminary Amendment (22 pages)

Response to Notice to File Missing Parts of Application (1 page)

Declaration and POA for Patent Application (6 pages)

Designation of Record Attorneys (1 page)

Part 2 Copy of Notice (2 pages)

This Certificate of Express Mailing (1 page)

Charge \$3,532.00 to deposit account 12-0080

Return Receipt Postcard

SP 2 4 2004 55

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. DEPARTMENT OF U.S. DEPARTMENT OF

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TRANS	MITTAL
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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3	pond to a collection of information unless it displays a valid OMB control number						
	Application Number	10/822230-Conf. #1325					
	Filing Date	April 9, 2004					
	First Named Inventor	Raphael J. MANNINO					
	Art Unit	1636					
	Examiner Name	Not Yet Assigned					
	Attorney Docket Number	BSZ-050					

ENCLOSURES (Check all that apply)										
X Fee Transr	mittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)							
Fee /	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences							
X Amendmer	nt/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)							
After	Final	Petition to Convert to a Provisional Application	Proprietary Information							
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter							
X Extension of	of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):							
Express At	pandonment Request	Request for Refund	Declaration for Patent Application Designation of Record Attorneys Part 2 Copy of Notice Certificate of Express Mail							
Information	Disclosure Statement	CD, Number of CD(s)								
Certified Co	opy of Priority		Return Receipt Postcard							
	to Missing Parts/ Application	Remarks								
X Resp	onse to Missing Parts r 37 CFR 1.52 or 1.53									
	7 57 51 10 1.02 57 1.05									
	SIGNATI	JRE OF APPLICANT, ATTORNEY, OF	RAGENT							
Firm or Individual name	or Danielle I. Herritt - 43.670									
Signature	Mu	ill								
Date	Date September 24, 2004									

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date shown below.	

Dated: September 24, 2004

Signature: _

_ (Danielle L. Herritt)

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMIT

for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known						
Application Number	10/822230-Conf. #1325					
Filing Date	April 9, 2004					
First Named Inventor	Raphael J. MANNINO					
Examiner Name	Not Yet Assigned					
Art Unit	1636					
Attorney Docket No.	BSZ-050					

TOTAL AMOUNT OF PAYMENT (\$) 3,532.00 Attorney Docket No. BSZ-050											
METHOD OF PAYMENT (check all that apply)								FEE	CALC	JLATION (continued)	
Check	Other None	3. A	DDITIO	ONAL	FEES	;	*				
χ Deposit Account:											
Deposit Account		1	12-0080		Fee	Large Entity Small Entity Fee Fee Fee Fee Fee Fee					
Number					Code	(\$)	Code	(\$)		Fee Description	Fee Paid
Deposit Account	Lah	hive &	Cockfield,	LLP	1051	130	2051	65	Surcharg	e - late filing fee or oath	130.00
Name	is author	rized to	: (check all that a	noly)	1052	50	2052	25	Surcharg sheet.	e - late provisional filing fee or cove	ا
	fee(s) indic			redit any overpayments	1053	130	1053	130		lish specification	
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X Charge	any addition	onal fee(s) or any underpa	lyment of fee(s)	1812	2,520	1812		-	a request for ex parte reexamination	ļ
Charge	fee(s) indic	cated bel	low, except for ti	ne filing fee	1804	920*	1804	920*	Examine	ng publication of SIR prior to raction	
to the above	-identified	l deposit	account.		1805	1,840*	1805	1,840*	Requestii Examiner	ng publication of SIR after	
	F	EE CA	LCULATION	4	1251	110	2251	55		n for reply within first month	110.00
1. BASIC	FILING	FEE			1252	420	2252	210	Extension	n for reply within second month	
Large Entity	Small E	Entity			1253	950	2253	475	Extension	n for reply within third month	
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Descrip	tion Fee Paid	1254	1,480	2254	740	Extension	n for reply within fourth month	
1001 770	2001	385	Utility filing fe	e 770.00	1255	2,010	2255	1,005	Extension	n for reply within fifth month	
1002 340	2002	170	Design filing	fee	1401	330	2401	165	Notice of	Appeal	
1003 530	2003	265	Plant filing fe	e	1402	330	2402	165	Filing a b	rief in support of an appeal	
1004 770	2004	385	Reissue filing	fee	1403	290	2403	145	Request t	for oral hearing	
1005 160	2005	80	Provisional fi	ling fee	1451	1,510	1451	1,510	Petition to	institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00					1452	110	2452	55	Petition to	o revive – unavoidable	
				,	1453	1,330	2453	665	Petition to	revive - unintentional	
2. EXTRA	CLAIM	FEES		Y AND REISSUE	1501	1,330	2501	665	Utility issu	ue fee (or reissue)	<u></u>
				from low Fee Paid	1502	480	2502	240	Design is	sue fee	
Total Claims	141	-20** =	121 x 18	.00 = 2,178.00	1503	640	2503	320	Plant issu	ie fee	
Independent Claims	7	-3** =	4 x 86	.00 = 344.00	1460	130	1460	130	Petitions	to the Commissioner	
Multiple Depe	ndent			=	1807	50	1807	50	Processir	ng fee under 37 CFR 1.17(q)	
Large Entity	Small E	ntity			1806	180	1806	180	Submissi	on of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee	Fee (\$)	Fee De	escription .	8021	40	8021	40		g each patent assignment per (times number of properties)	
1202 18	2202	9 CI	aims in excess		1809	770	2809	385		ubmission after final rejection	
1201 86 1203 290	2201 2203		-	ns in excess of 3 nt claim, if not paid	1810	770	2810	385		additional invention to be I (37CFR 1.129(b))	
1204 86	2204		Reissue indepe		1801	770	2801	385		for Continued Examination (RCE)	
1205 18	2205	9 **	over original pa Reissue claims	in excess of 20	1802	900	1802	900		for expedited examination in application	
	1		and over origina	al patent	Other f	ee (spec	cify)				
			OTAL (2) (\$)		*Redu	ced by E	Basic Fi	ling Fee	Paid	SUBTOTAL (3) (\$)	240.00
**or number	previously	y paid, it	f greater; For Re	issues, see above	<u> </u>						

SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	Danielle L. Herritt	Registration No. (Attorney/Agent)	43,670	Telephone	(617) 227-7400
Signature	Muse			Date	September 24, 2004

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Dated: September 24, 2004

Signature:

(Danielle L. Herritt)